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## Earth and Planetary Science Letters

journal homepage: [www.elsevier.com/locate/epsl](http://www.elsevier.com/locate/epsl)

# Temporal and spatial variation of stress field in Taiwan from 1991 to 2007: Insights from comprehensive first motion focal mechanism catalog

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## ARTICLE INFO

### Article history:

Received 16 July 2009

Received in revised form 26 July 2010

Accepted 29 July 2010

Editor: R.D. van der Hilst

### Keywords:

earthquake

focal mechanism

stress

crustal deformation

## ABSTRACT

The total amount of 4,761 focal mechanisms was determined based on P-wave first motion polarities from 1991 to 2007 in Taiwan region. This dataset offers us a good opportunity to examine temporal and spatial variability of the stress field. We find that the spatial variations of stress axes are mainly controlled by tectonic structures while the temporal changes are greatly influenced by the Chi-Chi earthquake. The orientation of the maximum horizontal compressive stress axes ( $S_H$ ) shows a general agreement with the direction of plate motion between a depth range of 0–30 km. The 20° anticlockwise rotation of  $S_H$  from the Longitudinal Valley (LV) to western Taiwan is probably caused by the left-lateral motion on the Longitudinal Valley Fault (LVF) that has consumed part of the oblique motion of plate convergence. On the other hand, part of the oblique convergence is transferred into the Central Range and the Hsuehshan Range judging from counterclockwise rotation of  $S_H$  from east to west and strike-slip faulting in the Hsuehshan Range. Most events with a depth greater than 30 km occur offshore eastern Taiwan and the azimuth of  $S_H$  is close to E–W directed, different from NW–SE directed at shallow depths. This may infer the existence of the transition of lithosphere rheology in offshore eastern Taiwan. The trends of  $S_H$  in the depth of 0–10 km are strongly affected by the coseismic stress change of the Chi-Chi earthquake. In the northern half of the Chi-Chi rupture area, the trends of  $S_H$  rotate 30° clockwise and the stress ratio increased by a factor of six after the mainshock. The orientations of  $S_H$  still differ by 30° in 2007 comparing to that in the period before the Chi-Chi earthquake. The variation of  $S_H$  trend is more diverse in the southern half of the rupture area, showing 20° counterclockwise rotation immediately after the 1999 mainshock followed by a clockwise rotation. The trend of  $S_H$  returns to the pre-seismic direction of 110° in 2001. These notable changes of  $S_H$  before and after the Chi-Chi mainshock suggests that the magnitude of background stress in the rupture area is close to the coseismic stress drop. We also recognize a significant 10° counterclockwise rotation of  $S_H$  in the entire Chi-Chi rupture area between 1991 and 1999 before the earthquake took place. However, to the south of the Chi-Chi rupture, the trends of  $S_H$  remain little changed before and after the Chi-Chi earthquake.

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## 1. Introduction

Taiwan is one of the most seismically active regions in the world. It is situated in the western portion of the Pacific Rim seismic belt. The Philippine Sea Plate (PSP) subducts northward under the Eurasian Plate (EP) along the Ryukyu trench offshore eastern Taiwan. Off the southern tip of the island, the EP subducts eastward under the PSP (Tsai et al., 1977; Wu et al., 2009a,b). As a result of the regional tectonic motion,

most of Taiwan is under a northwest–southeast contraction with a convergence rate of about 80 mm/year (Hsu et al., 2009a; Yu et al., 1997). The Taiwan orogen, formed by the collision of the Luzon Arc with the China continent in the past 5–6 My (Huang et al., 2006; Teng, 1990), is relatively young on the geological timescale. The island is characterized by a high shortening rate and a strong seismic activity. Since 1994, the Central Weather Bureau Seismic Network (CWBSN) has recorded about 18,000 events each year in a roughly 400 km × 550 km region (Shin et al., 2003; Wu et al., 2008a). The CWBSN consists of 71 real-time seismic stations in Taiwan region (Fig. 1). Furthermore, 715 strong motion stations from the Taiwan Strong Motion Instrumentation Program (TSMIP) and 13 seismic stations from the Japan Meteorological Agency (JMA) were deployed around the Taiwan region. The distribution of seismic stations provides a nice spatial coverage for earthquake monitoring.

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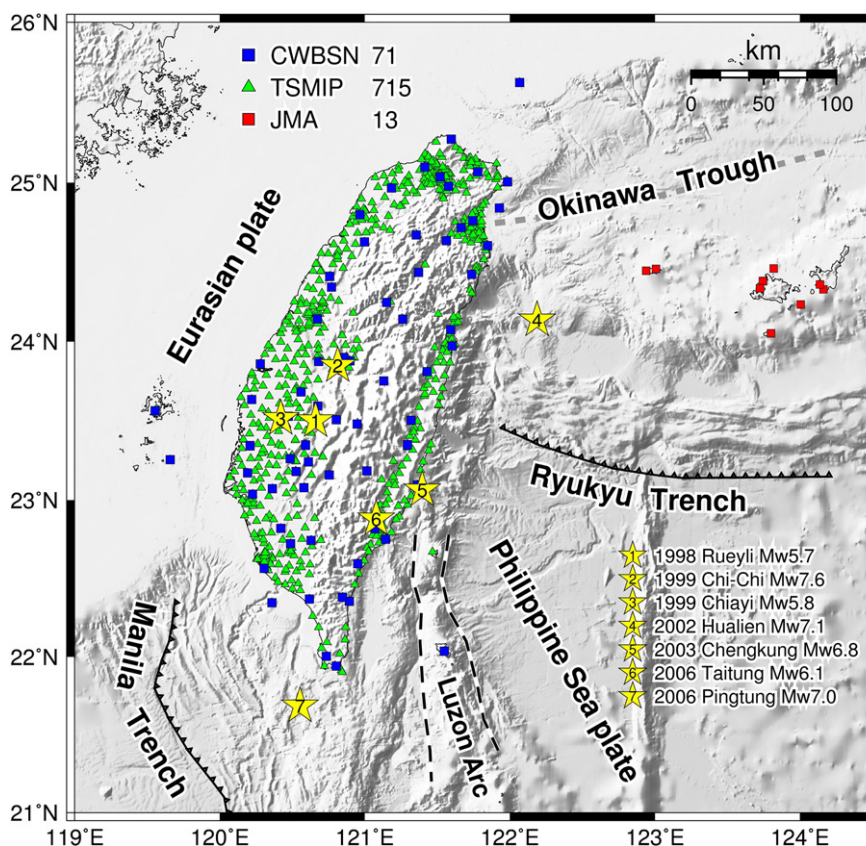


Fig. 1. Distribution of seismic stations from different networks (CWBSN, TSMIP, and JMA). Stars with number show the epicenters of damaging events in the study periods in Taiwan.

Many devastating earthquakes which have occurred in the past decade have been well-recorded and carefully studied, for example, the 1998 Ruyeli  $M_w$ 5.7 earthquake (Chen et al., 1999; Wu et al., 2003), the 1999 Chi-Chi  $M_w$ 7.6 earthquake (e.g., Chang et al., 2000, 2007; Chen et al., 2006; Hsu et al., 2009b; Shin and Teng, 2001; Teng et al., 2001; Wu and Chen, 2007; Wu and Chiao, 2006), the 1999 Chiayi  $M_w$ 5.8 earthquake (Chang and Wang, 2006; Chen et al., 2008), the 2002 Hualien  $M_w$ 7.1 earthquake (e.g., Chen et al., 2004), the 2003 Chengkung  $M_w$ 6.8 earthquake (e.g., Hsu et al., 2009c; Hu et al., 2007; Wu et al., 2006a), the 2006 Taitung  $M_w$ 6.1 earthquake (e.g., Chen et al., 2009; Wu et al., 2006b), and the Pingtung  $M_w$ 7.0 earthquake in December 2006 (e.g., Wu et al., 2009b). The abundant earthquakes and well-recorded data in Taiwan provide a valuable database to study both the lithosphere structure and earthquake sources.

Accurate and reliable earthquake information is fundamental to many seismological studies. In the past few years, we have been working on a basic earthquake database in the Taiwan region for related earthquake researches. First, we obtained the regional 3-D P-wave and  $V_p/V_s$  structures by combining a large dataset of S-P times from the TSMIP records with the P- and S-wave arrival times from the CWBSN, JMA, and some temporary ocean bottom seismograph (OBS) stations (Wu et al., 2007, 2009a,b). Second, the earthquake hypocenters of the Taiwan region are relocated using three-dimensional velocity model (Wu et al., 2008a). The focal mechanisms of moderate-size earthquakes ( $M_L \geq 4.0$  from 1991 to 2005) are determined using genetic algorithm (GA) method (Wu et al., 2008b).

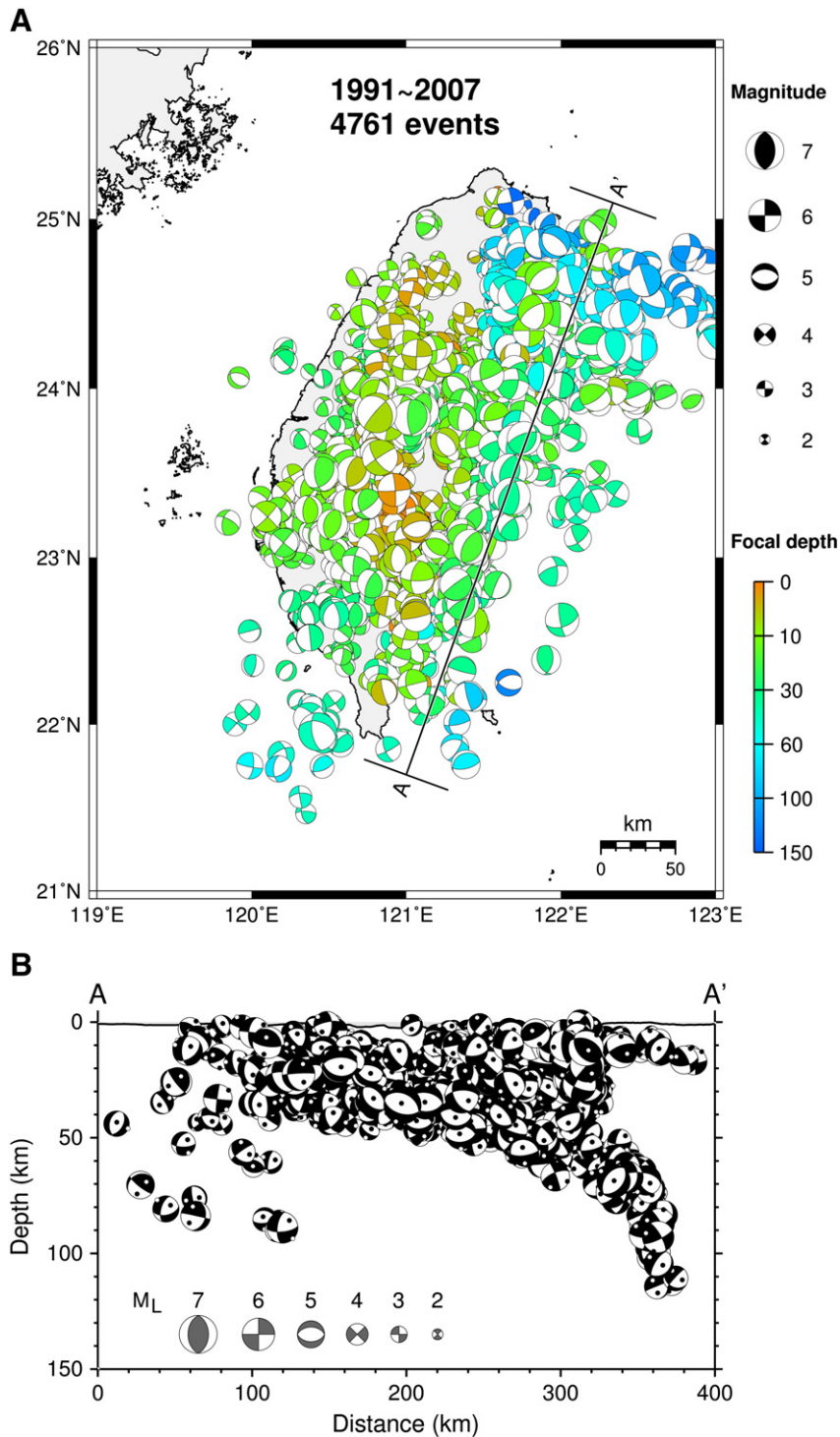
Earthquake focal mechanisms can provide information about the regional stress patterns and seismotectonic environments. A complete focal mechanism catalog can also be useful in other studies such as waveform tomography as well as earthquake source mechanism analysis. Although focal mechanisms of moderate-size earthquakes

were determined by our previous study (Wu et al., 2008b), that dataset lacked small earthquakes to provide a better coverage in time and space. In this study, we analyzed all the earthquakes from 1991 to 2007 in the Taiwan region to offer a comprehensive dataset of focal mechanism and conducted stress tensor inversions. The number of events in the new dataset is about three times that in Wu et al. (2008b). In addition, the P-wave polarities from JMA stations of the Ryukyu region are used to give a better spatial coverage for events in offshore northeast Taiwan compared to our previous study.

## 2. Data and analysis

First motion polarities from the CWBSN, TSMIP, and JMA are used in this study to determine focal mechanisms. The P-wave polarities of the CWBSN are read from CWBSN catalog and those from the TSMIP and JMA stations are picked in this study. We use the total amounts of 44,847 and 5,419 first motion polarities from TSMIP and JMA, respectively. Three-dimensional earthquake locations were applied to the entire CWBSN catalog earthquakes using P and S arrivals from CWBSN and JMA as well as S-P time from the TSMIP (Wu et al., 2007, 2009a). A GA method (Wu et al., 2008b) was used to determine focal mechanisms of all earthquakes.

A total of 4,761 focal mechanisms were determined from 1991 to 2007 in the Taiwan region (Fig. 2 and Table 1 as the supplementary material). Fig. 3 shows the statistics of focal mechanisms including time, magnitude ( $M_L$ ), and depth distributions. Focal depths of those events range from 1 to 143 km with the majority occurring at shallow depths of less than 20 km. The average depth is 20 km with a standard deviation of 18 km. The magnitudes vary between 1.8 and 7.3 with an average of  $3.9 \pm 0.7$ . Based on this distribution, the magnitude completeness of this catalog should be around 3.5 to 4.0. The number

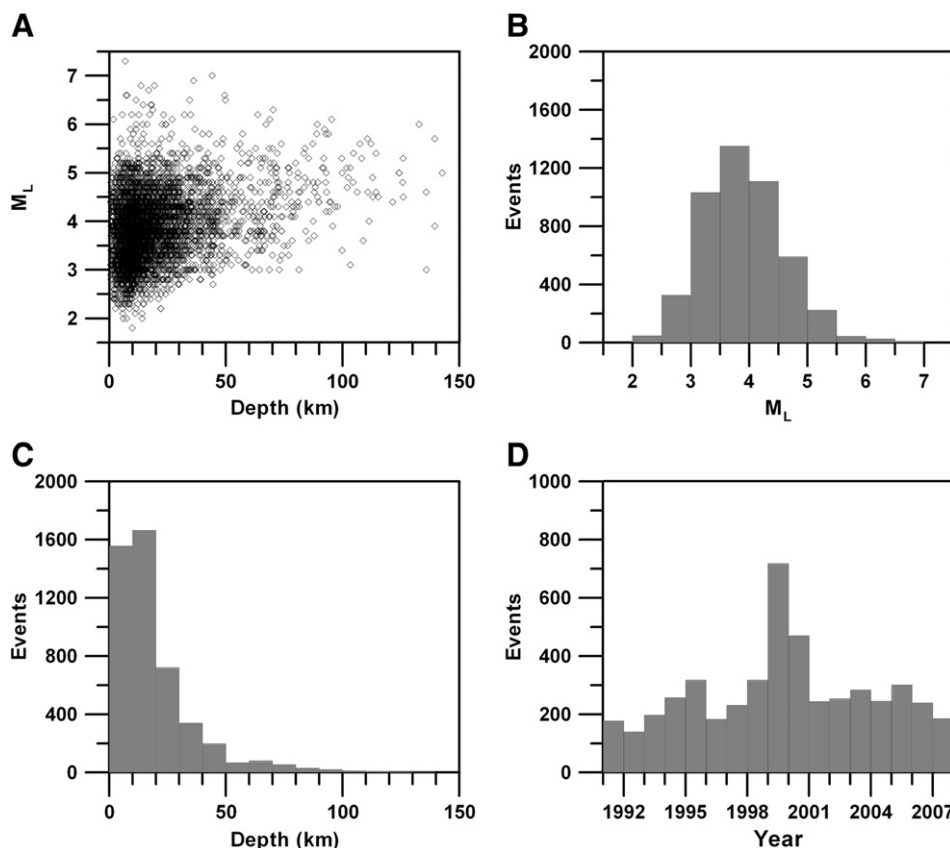


**Fig. 2.** A. Distribution of focal mechanisms determined in this study from 1991 to 2007. AA' shows the location of the profile in Figure 2B. B. A NE-SW transect of earthquake focal mechanisms.

of events significantly increases after the 1999 Chi-Chi earthquake but returns to the background value of about 200 events per year in 2002.

Compared to the old dataset (Wu et al., 2008b), the new dataset provides more focal mechanisms to investigate temporal and spatial variations of the regional stress field. It allows us to examine the influence of the Chi-Chi earthquake on the pattern of stress orientations using the stress tensor inversion method proposed by Michael (1984, 1987). Their method finds the optimal stress tensor

that minimizes the difference between the trend of the shear traction on the fault plane and the fault slip direction for a population of earthquakes. In order to find a continuous variation of stress orientations in space, we use a moving-window inversion on the  $0.25^\circ$ -spacing grid and include all events within a  $0.5^\circ \times 0.5^\circ$  rectangle centered at the node. We estimate the stress tensor only when there are at least 10 earthquakes within a given rectangular box. The main results are shown in the following sections.



**Fig. 3.** Statistics of focal mechanisms. (A) The earthquake magnitude as a function of focal depth. (B to D) show number of events as a function of magnitude, focal depth and time, respectively.

### 3. Spatial variations of stress orientations

Wu et al. (2008b) delineate orientations of principal stress axes using all focal mechanisms in the crust with a depth of less than 30 km before the Chi-Chi earthquake. With much more focal mechanisms available in this study, we were able to examine variations of stress orientations at four depth profiles ranging from 0 to 10 km, 10 to 20 km, 20 to 30 km, and 30 to 50 km. We show the trends of maximum horizontal compressive axes ( $S_H$ ) with one standard deviation before and after the Chi-Chi earthquake in Figs. 4 and 5, respectively. The direction of  $S_H$  corresponding to the direction of the normal of the vertical plane experiencing maximum normal stress, which is calculated using the method proposed by Lund and Townend (2007).

Before the Chi-Chi earthquake, the trends of  $S_H$  at shallow depths of 0–10 km smoothly varied from  $130^\circ$  in the LV to  $110^\circ$  near the Coastal Plain in western Taiwan (Fig. 4A). The directions of  $S_H$  axes in the entire LV are roughly consistent from the surface to 10 km deep. At the depth range of 10–20 km, the trends of  $S_H$  indicate sharp variations in space. In SW Taiwan and southern LV, where surface deformation is characterized by strong horizontal shortening based on GPS data (Hsu et al., 2009a), the trends of  $S_H$  rotate about  $20^\circ$  anticlockwise corresponding to those at shallow depths (Fig. 4B). The directions of  $S_H$  in northern LV show a coherent trend of about  $130^\circ$  at the depth range between the surface and 20 km, while the azimuth of  $S_H$  rotates  $10^\circ$  counterclockwise at the depth between 20 and 30 km and become E–W-oriented deeper than 30 km (Fig. 4CD). Most events with depths greater than 30 km occur in offshore eastern Taiwan, where the azimuth of  $S_H$  is about  $105^\circ$  (Fig. 4D).

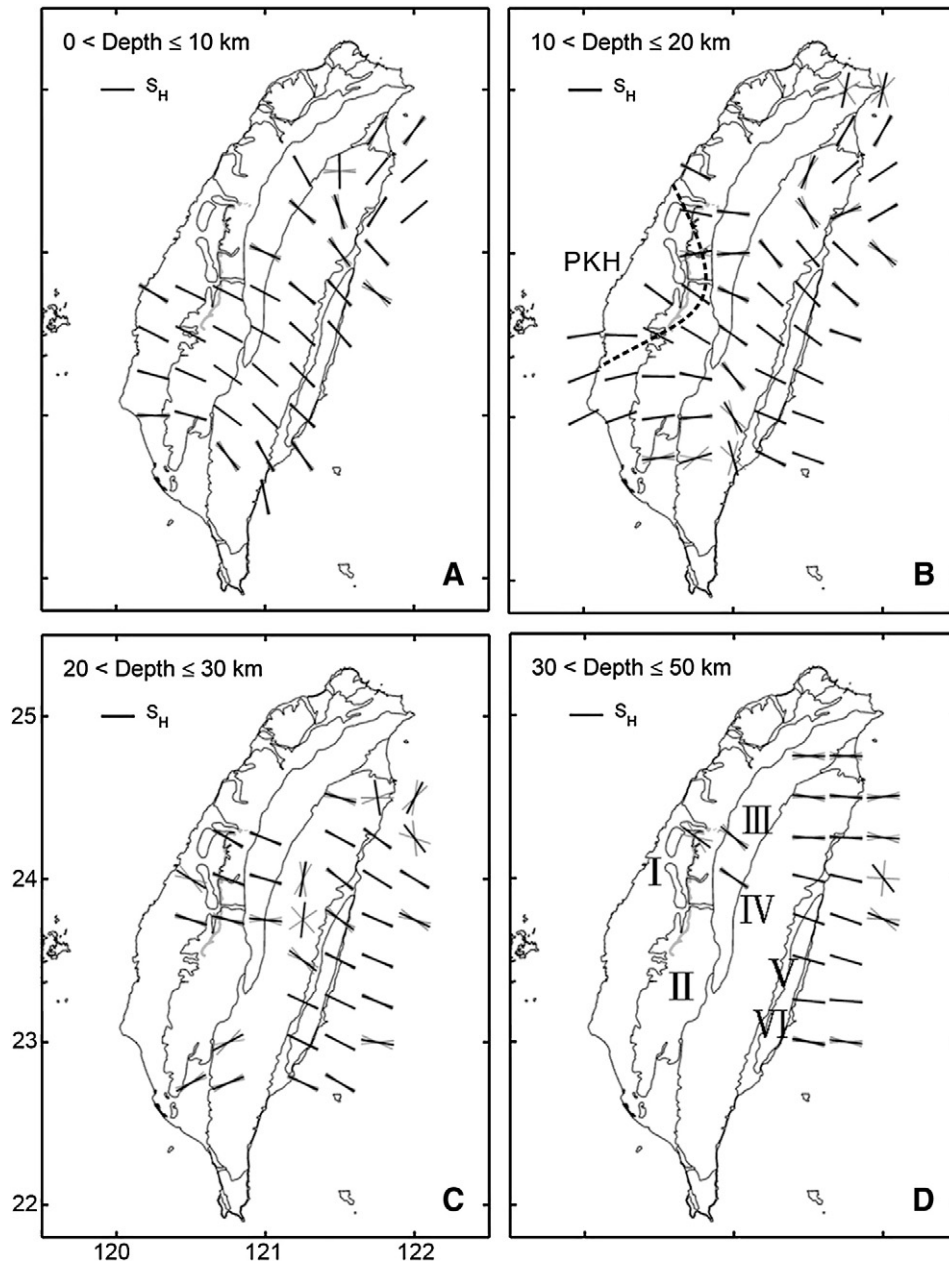
Kao et al. (1998) inferred that strain partitioning occurred to the east of the LV and found that the P axes of focal mechanisms offshore

eastern Taiwan ( $23\text{--}24^\circ\text{N}$ ,  $\sim 121.9^\circ\text{E}$ ) can be divided into two groups,  $287^\circ \pm 10^\circ$  and  $333^\circ \pm 16^\circ$ . We find that this variation is possibly not at horizontal space but rather in the depth. The azimuths of P axes (very close to orientations of  $S_H$ ) shallower than 20 km are roughly consistent with the direction of plate motion (corresponding to the second group of  $333^\circ \pm 16^\circ$  in Kao et al., 1998), while P axes rotate anticlockwise to be more E–W-directed at deep depths (corresponding to the group of  $287^\circ \pm 10^\circ$  in Kao et al., 1998). This implies that the stress partitioning is probably due to rheological change at depth near the east coast.

The trends of  $S_H$  estimated from focal mechanisms after the Chi-Chi Earthquake are shown in Fig. 5. The primary variations of the  $S_H$  orientations before and after the mainshock reside in the depth range of 0–10 km. In central Taiwan, the  $S_H$  directions show systematic clockwise rotations of  $15^\circ$  in the Western Foothills ( $\sim 120.8^\circ\text{E}/24^\circ\text{N}$ ) and of  $35^\circ$  to the north of the LV ( $\sim 121.6^\circ\text{E}/24^\circ\text{N}$ ) after the mainshock (Fig. 5A). Results from stress tensor inversions show N–S compression beneath the Coastal Plain in the depth range of 0–20 km (Fig. 5AB). Similar phenomena can be found in the Chi-Chi rupture area at 20–50 km depth (Fig. 5CD). Note that the occurrence of the 2006 Pingtung earthquake induced a series of aftershocks with  $S_H$  trending  $140^\circ$  in SW Taiwan as shown by the stress tensor inversion using about 15 focal mechanisms in the depth range of 30–50 km (Fig. 5D).

### 4. Temporal variations of stress orientations near the Chi-Chi rupture area

We divide the rupture area of the Chi-Chi earthquake into three regions, A, B and C, as indicated in Fig. 6. Boxes A and B cover the northern half and southern half of the Chi-Chi rupture area, respectively. The boundary between Boxes A and B is decided



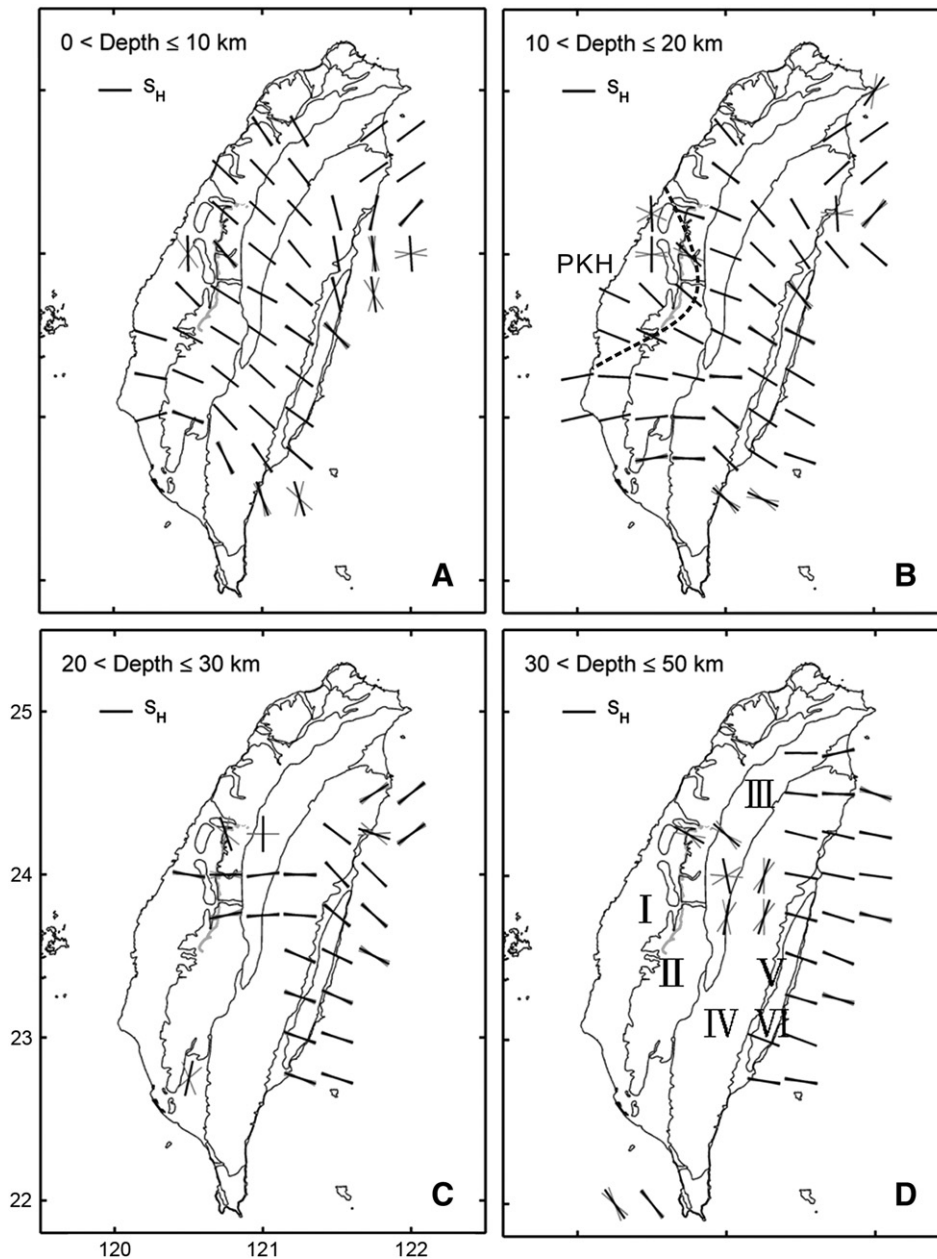
**Fig. 4.** Orientations of maximum horizontal compressive stress ( $S_H$ ) before the Chi-Chi earthquake at four depth ranges of (A) 0–10 km (B) 10–20 km (C) 20–30 km and (D) 30–50 km. The outline of Peikang basement high (PKH) is indicated as a black dashed line in (B). The major geological units in Taiwan are indicated in (D). I: Coastal Plain. II: Western Foothills. III: Hsueshan Range. IV: Central Range. V: Longitudinal Valley. VI: Coastal Range. Grey line indicate one standard deviation of the trend of  $S_H$ . The outline of Peikang basement high (PKH) is indicated as a black dashed line in (B).

according to the faulting style which will be described in the next section. The region in Box C contains the region to the south of the rupture zone. All three boxes have the same spatial size.

The stress tensor inversion at each box is performed using all earthquake focal mechanisms with focal depths less than 30 km. We conduct stress tensor inversions using all events before (1991–1999.7) and after (1999.7–2008) the Chi-Chi earthquake, respectively (Fig. 6). The trend of  $S_H$  and stress ratio ( $R = \frac{\sigma_2 - \sigma_3}{\sigma_1 - \sigma_3}$ ) are shown in Fig. 6. The fault types are between thrust faulting and strike-slip faulting in A and B with a small  $R$  value of about 0.1 to 0.2 implying slight transpression before the Chi-Chi earthquake. Box C shows a predominantly strike-slip faulting with  $R = 0.4$  and the intermediate stress axis ( $\sigma_2$ ) close to vertical. The trends of  $S_H$  are  $100^\circ$ ,  $106^\circ$  and  $119^\circ$  from northern to southern boxes, respectively. After the Chi-Chi

earthquake, the fault types are dominated by strike-slip faulting in Box A and thrust faulting in Box B, respectively. The trends of  $S_H$  rotated about  $25^\circ$  in Box A, while they remain unchanged in Box B and C. Moreover, the stress ratio,  $R$ , increases by a factor of six in Box A. The dramatic change of stress status in Box A is related to the large coseismic slip of more than 10 m (Hsu et al., 2009b) and the amplitude reduction of maximum principal stress after the mainshock.

Mozziconacci et al. (2009) found a  $25^\circ$  clockwise rotation of the  $\sigma_1$  axis between pre- and post-Chi-Chi periods in the area near the northern termination of the coseismic rupture, which is consistent with the  $25^\circ$  clockwise rotation of  $S_H$  in our study, although the methods of determining focal mechanisms and stress tensor inversions are different.



**Fig. 5.** Orientations of maximum horizontal compressive stress ( $S_H$ ) after the Chi-Chi earthquake at four depth ranges of (A) 0–10 km (B) 10–20 km (C) 20–30 km and (D) 30–50 km. The major geological units in Taiwan are indicated in (D). I: Coastal Plain. II: Western Foothills. III: Hsueshan Range. IV: Central Range. V: Longitudinal Valley. VI: Coastal Range. Grey line indicate one standard deviation of the trend of  $S_H$ . The outline of Peikang basement high (PKH) is indicated as a black dashed line in (B).

### 5. Temporal variations of stress orientations in central Taiwan

Since our new dataset contains abundant focal mechanisms (Fig. 6), we are able to conduct stress tensor inversions in multiple time windows and examine temporal evolutions of stress orientations in this study.

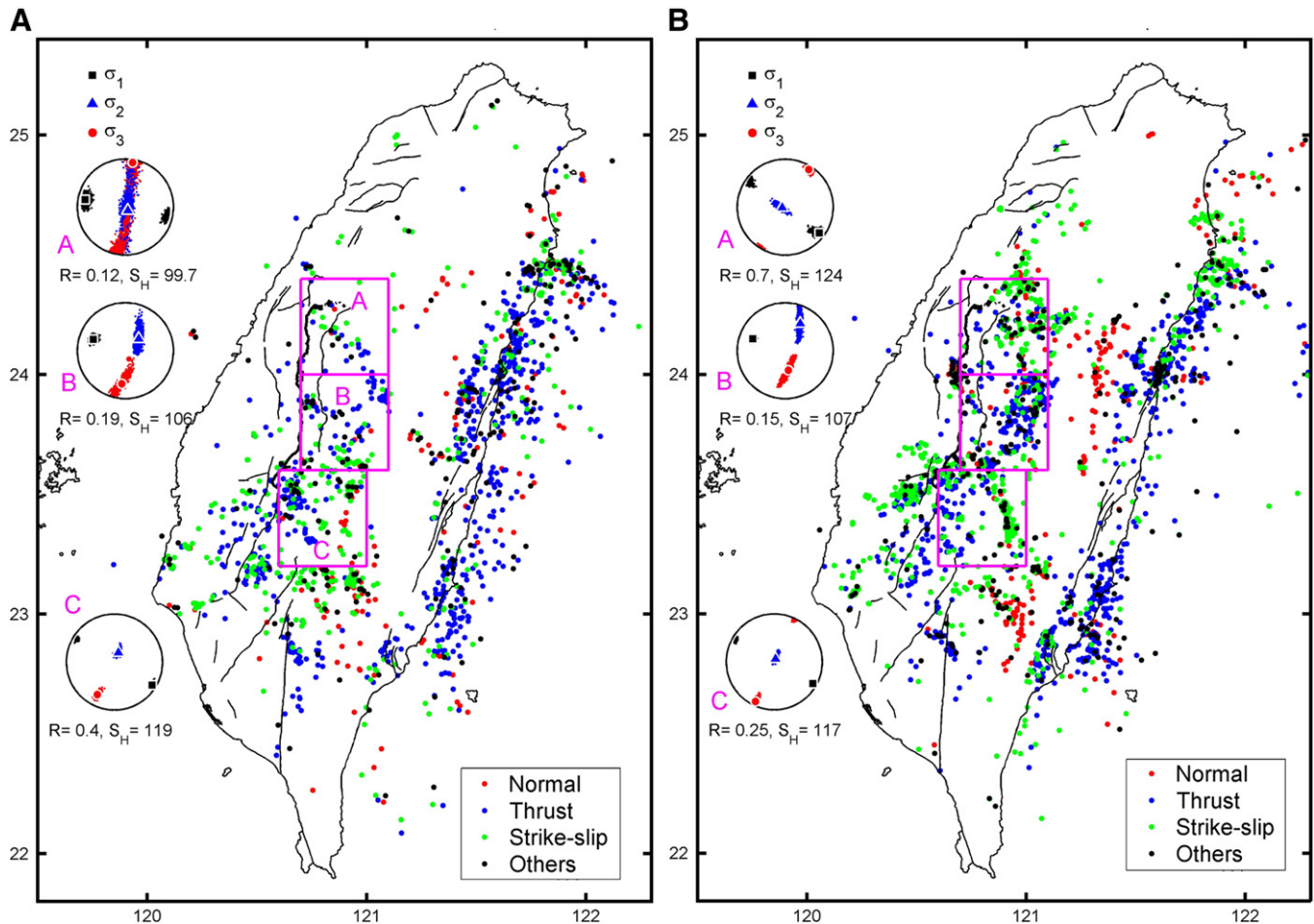
We divide earthquake focal mechanisms before the Chi-Chi earthquake in central Taiwan into three equal time windows between 1991 and 1999.7. The focal mechanisms after the mainshock are divided into six time periods as 0–1 month, 1–4 months, 4–10 months, 10–22 months, 22–46 months, and 46–94 months afterward. These time windows are partitioned by taking into account the logarithmic decay of seismicity after the mainshock (Perfettini and Avouac, 2004).

The orientations of  $S_H$  is stationary between 1991 and 1996.8 in the northern half of the Chi-Chi rupture area (Box A); while the trend of  $S_H$  rotates 10° counterclockwise in the period between 1996.8 and

1999.7 (Fig. 7). Right after the Chi-Chi earthquake,  $S_H$  rotated 30° clockwise. Between 2003 and 2007,  $S_H$  trended 130°, about 30° different from the pre-seismic orientation.

The stress tensor inversions in the southern half of the Chi-Chi rupture zone (Box B) show that orientations of principal stress axes are roughly stationary and the average trend of  $S_H$  is about 110° between 1991 and 1999.7. However, there is a 10° counterclockwise rotation of  $S_H$  between 1991 and the Chi-Chi mainshock. The  $S_H$  orientations varied considerably in the first year after the mainshock, but returned to the pre-seismic direction after 2001 (Fig. 8).

To the south of the Chi-Chi rupture area (Box C), the strike-slip and thrust faulting mechanisms prevail both before and after the mainshock (Figs. 6 and 9), with  $S_H$  varied slightly about 120°. Though the influence of the Chi-Chi earthquake on the stress regime is indistinct, a clear NW–SE alignment of seismicity was induced (Fig. 6B), which is not observed before (Fig. 6A).



**Fig. 6.** Fault types in Taiwan and stress tensor inversions in the Chi-Chi rupture area (A) before and (B) after the Chi-Chi earthquake using events with focal depths of less than 30 km. The focal mechanisms are divided into four categories, including normal, thrust, strike-slip faulting and others (Frohlich, 2001) and indicated as colored dots. The results of stress tensor inversions in three regions, A, B and C, are shown on the left of each panel. Squares, triangles, and circles represent three principal stress axes,  $\sigma_1$ ,  $\sigma_2$  and  $\sigma_3$ , in equal-area projection of the lower hemisphere. The best solutions are marked by large symbols with white outlines. The small symbols show the distribution of stress axes within 95% confidence region. The black lines indicate major faults in Taiwan.

## 6. Discussion and conclusions

Based on the stress analysis of our new dataset, we found many interesting changes of stress fields in both space and time. Before the Chi-Chi earthquake, the trends of  $S_H$  at shallow depth of 0–10 km smoothly vary from  $130^\circ$  in the LV to  $110^\circ$  near the Coastal Plain in western Taiwan (Fig. 4). We suggest that this phenomenon is related to the oblique convergence between the EP and PSP at the LV of eastern Taiwan. The strike-slip movement is not only consumed by left-lateral motion on the LVF (Fig. 6A), as suggested in previous studies (Chung et al., 2008; Wu et al., 2006b, 2008b), but also is transferred into the Central Range, the Hsuehshan Range and possibly into the Western Foothills. This inference is justified by the gradual counterclockwise rotation of  $S_H$  between  $22.5^\circ\text{N}$  and  $24^\circ\text{N}$  from eastern to western Taiwan (Figs. 4A and 5A) as well as strike-slip faulting in the Hsuehshan Range and the Western Foothills (Fig. 6).

In southwestern Taiwan, the trends of  $S_H$  rotate about  $20^\circ$  to  $30^\circ$  anticlockwise at the depth range of 10–20 km with respect to those at shallow depths of 0–10 km (Fig. 4A and B). The distribution of seismicity and rotation of  $S_H$  in this region can be related to the Peikang Basement High (PKH, e.g. Meng, 1971; Mouthereau et al., 2002), a high-velocity barrier in western Taiwan as shown in tomography results (Wu et al., 2007) at depths between 10 and 20 km (Fig. 4B).

The orientations of  $S_H$  in the northern half of the Chi-Chi rupture area rotated  $30^\circ$  clockwise after the Chi-Chi earthquake (Fig. 7, Box A).

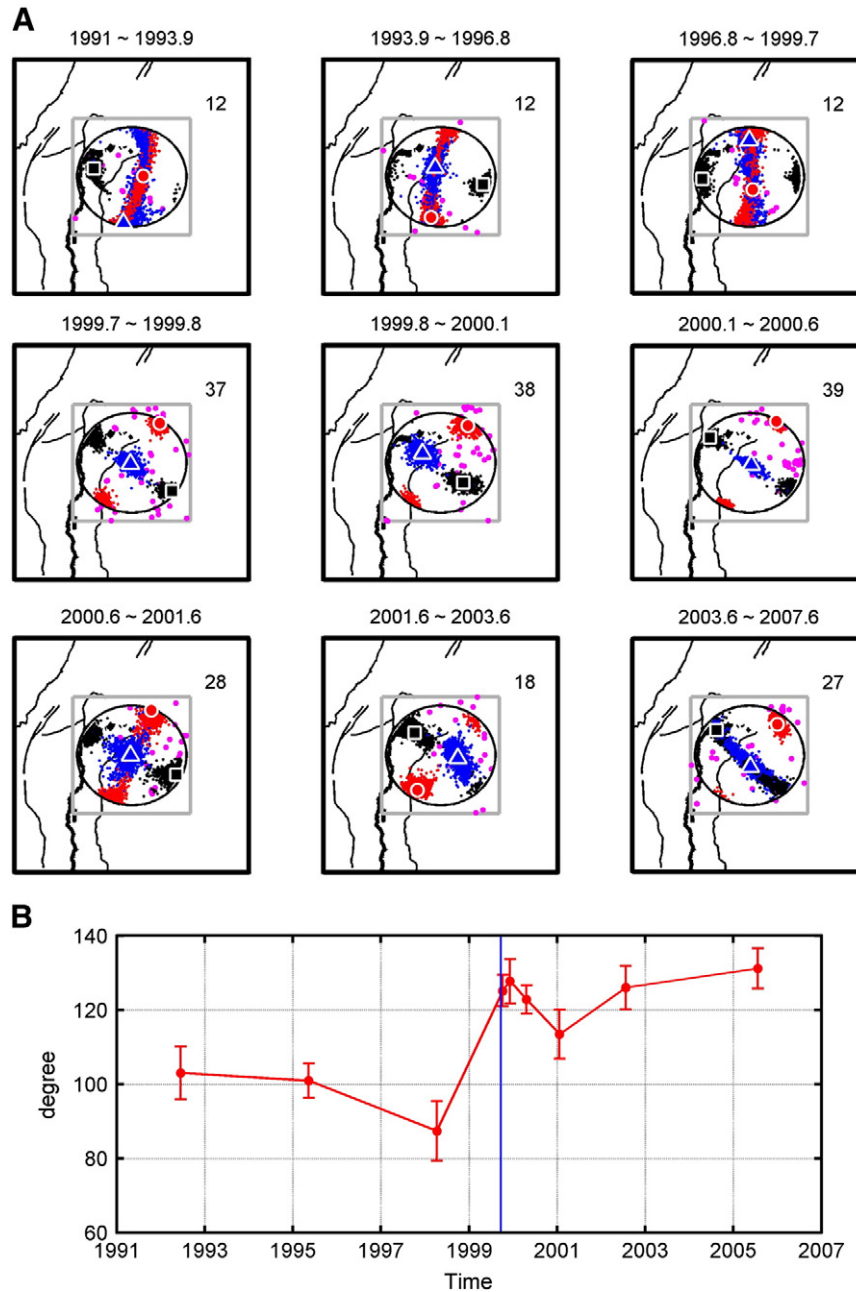
The coseismic movement here is in the direction of  $320\text{--}330^\circ$ ; while the pre-seismic motion is in the direction of  $300^\circ$  (Wu and Wu, 2007; Yu et al., 2001). We suggest that the  $S_H$  trends will gradually return to orientations in the pre-Chi-Chi period. This feature is also observed in seismicity changes (Wu and Chen, 2007). Before the Chi-Chi earthquake most of the earthquakes occurred in eastern Taiwan but shortly concentrated in western Taiwan after the Chi-Chi earthquake. Currently, most earthquake activities switch back to eastern Taiwan. However, the orientations of  $S_H$  in 2007 at the northern half of the Chi-Chi rupture area still differ by  $30^\circ$  from the pre-seismic orientations.

Significant changes of  $S_H$  orientations in the coseismic rupture area are inferred after the Chi-Chi earthquake in comparison with stationary  $S_H$  orientation to the south of the rupture zone. This suggests the stress level in the rupture area is of the same order of coseismic stress drop of about 10 MPa (Hwang et al., 2001) and the crustal strength on the fault zone is weak as indicated in Hsu et al. (2009b).

In addition to the preliminary stress analysis of this study, our complete focal mechanism dataset in Taiwan can also be applied to other studies involving geodynamic modeling, geological interpretations, and seismotectonics. We hope this dataset, as illustrated in the supplementary material will be useful to other colleagues of the society.

Supplementary materials related to this article can be found online at [doi:10.1016/j.epsl.2010.07.047](https://doi.org/10.1016/j.epsl.2010.07.047).





**Fig. 7.** Stress tensor inversions for Box A at nine periods shown on the top of each panel. (A) The top three panels and bottom six panels show stress axes before and after the Chi-Chi earthquake, respectively. Magenta dots indicate earthquake locations. Squares, triangles, and circles represent three principal stress axes,  $\sigma_1$ ,  $\sigma_2$  and  $\sigma_3$ , in equal-area projection of the lower hemisphere. The best solutions are marked by large symbols with white outlines. The small symbols show the distribution of stress axes within 95% confidence region. The black lines indicate major faults. The text denotes the number of focal mechanisms used in each period. (B) Temporal variations of orientations of  $S_H$ . Degrees are counted clockwise from the north. The blue line indicates the time of the Chi-Chi earthquake.

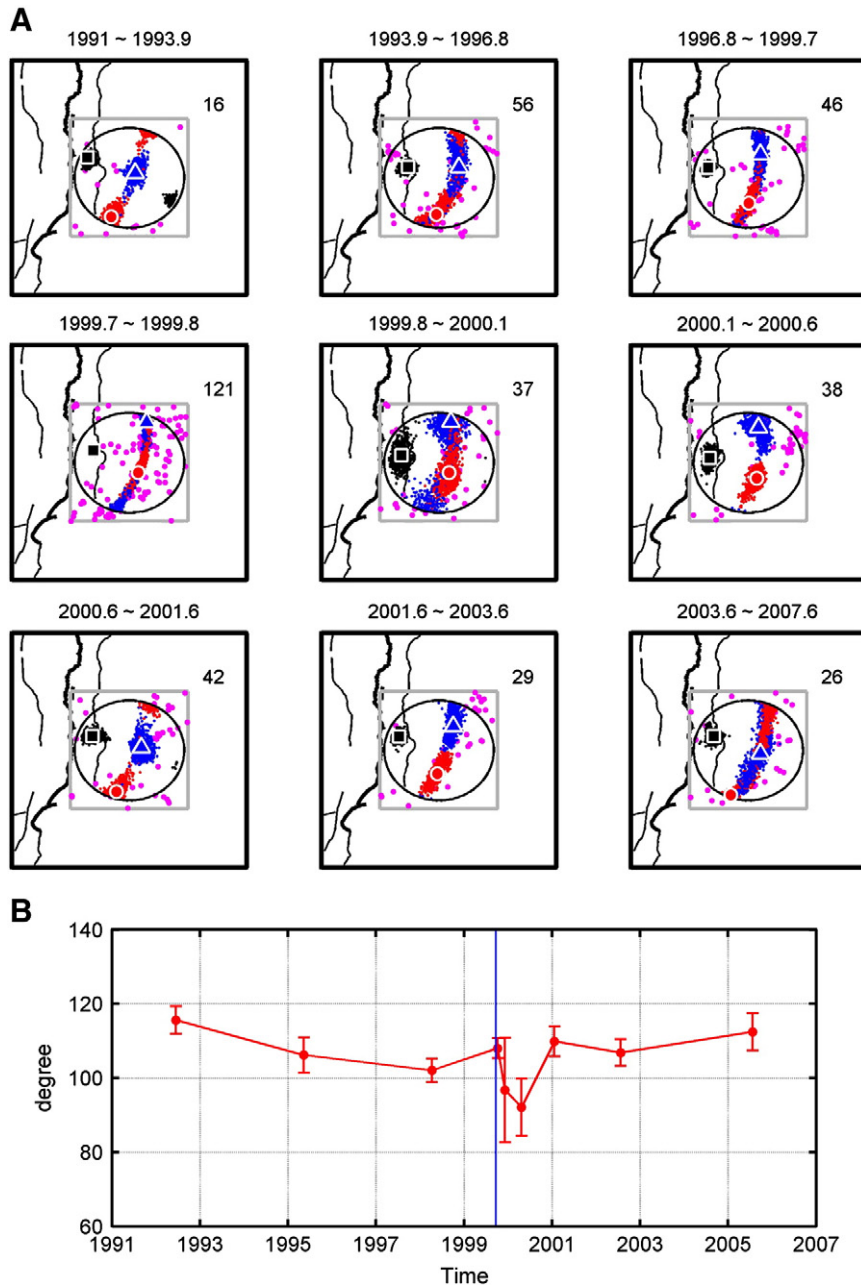
**Acknowledgments**

The authors wish to thank the Japan Meteorological Agency (JMA) for providing the arrival time data of JMA stations. This work was supported by the National Science Council (NSC) and Central Weather Bureau of the Republic of China.

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**Fig. 8.** Stress tensor inversions for Box B at nine periods shown on the top of each panel. (A) The top three panels and bottom six panels show stress axes before and after the Chi-Chi earthquake, respectively. Magenta dots indicate earthquake locations. Squares, triangles, and circles represent three principal stress axes,  $\sigma_1$ ,  $\sigma_2$  and  $\sigma_3$ , in equal-area projection of the lower hemisphere. The best solutions are marked by large symbols with white outlines. The small symbols show the distribution of stress axes within 95% confidence region. The black lines indicate major faults. The text denotes the number of focal mechanisms used in each period. (B) Temporal variations of orientations of  $S_H$ . Degrees are counted clockwise from north. The blue line indicates the time of the Chi-Chi earthquake.

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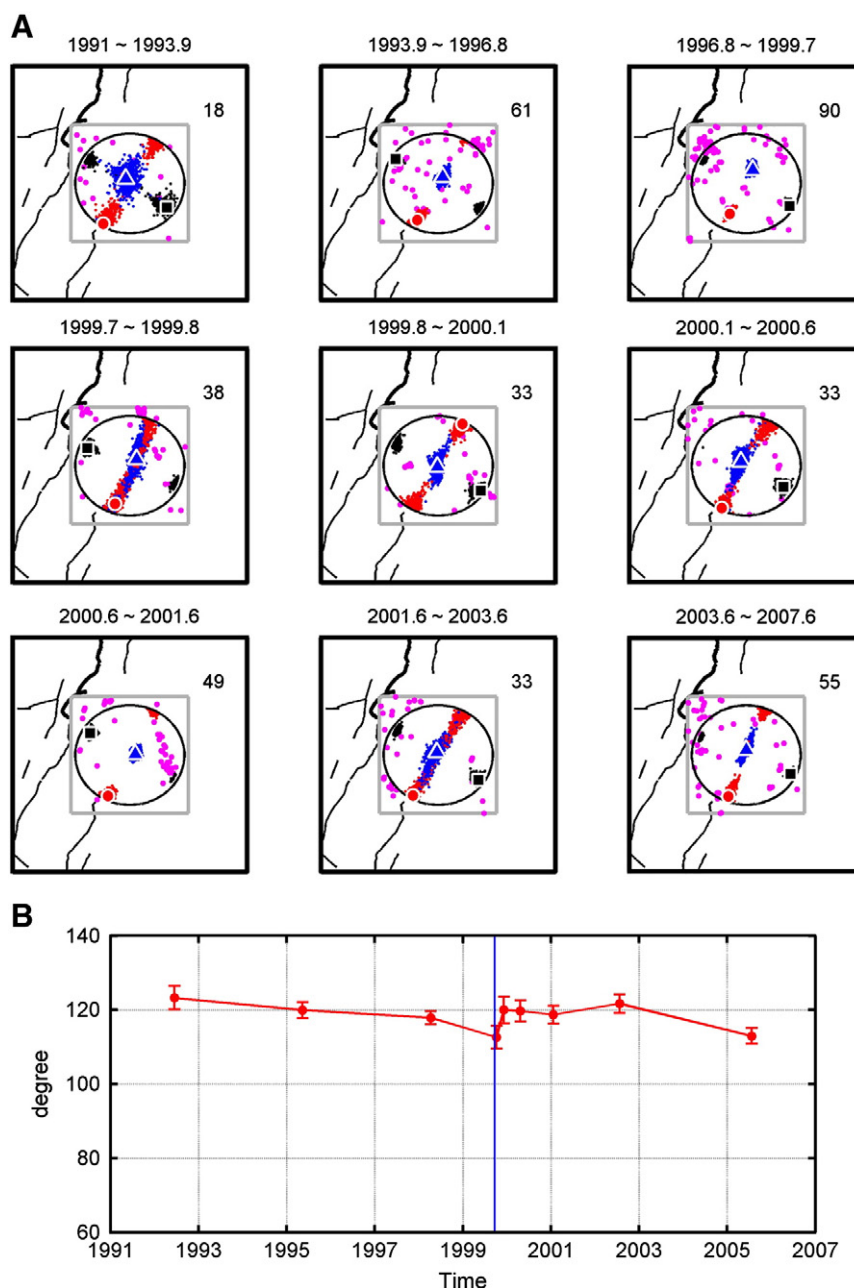
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**Fig. 9.** Stress tensor inversions for Box C at nine periods shown on the top of each panel. (A) The top three panels and bottom six panels show stress axes before and after the Chi-Chi earthquake, respectively. Magenta dots indicate earthquake locations. Squares, triangles, and circles represent three principal stress axes,  $\sigma_1$ ,  $\sigma_2$  and  $\sigma_3$ , in equal-area projection of the lower hemisphere. The best solutions are marked by large symbols with white outlines. The small symbols show the distribution of stress axes within 95% confidence region. The black lines indicate major faults. The text denotes the number of focal mechanisms used in each period. (B) Temporal variations of orientations of  $S_H$ . Degrees are counted clockwise from north. The blue line indicates the time of the Chi-Chi earthquake.

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