



RESEARCH LETTER

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Key Points:

- Define a new parameter to describe the earthquake size
- Introduce borehole data to earthquake early warning
- The proposed earthquake size is related to the strong ground motion

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Application of $\tau_c * Pd$ in earthquake early warning

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Abstract Rapid assessment of damage potential and size of an earthquake at the station is highly demanded for onsite earthquake early warning. We study the application of $\tau_c * Pd$ for its estimation on the earthquake size using 123 events recorded by the borehole stations of KiK-net in Japan. The new type of earthquake size determined by $\tau_c * Pd$ is more related to the damage potential. We find that $\tau_c * Pd$ provides another parameter to measure the size of earthquake and the threshold to warn strong ground motion.

1. Introduction

Over decades of development, earthquake early warning (EEW) system is proven to be one of the effective tools for real-time seismic hazard mitigation and has been developed and operated in many countries, for example, Italy, Japan, Mexico, Taiwan, and the Southern California [Kanamori *et al.*, 1997; Allen *et al.*, 2009; Lee and Wu, 2009; Zollo *et al.*, 2010; Satriano *et al.*, 2011]. EEW aims to provide timely alerts before the destructive ground shakings strike protected targets, sensitive facilities, or public transportations.

The two main types of EEW systems are mostly adopted, regional and onsite warning systems. In regional warning, the seismic data from seismic sensors or arrays deployed in the source region are used to predict the strong ground motions at a more distant region. In onsite warning, the initial *P* wave motion of a single station or an array is used to predict the peak ground motion of later arriving *S* and surface waves, which commonly have higher amplitudes or destructive energy than that of the initial *P* wave at the same site. Generally, the regional warning has a higher accuracy than the onsite one because of the use of more stations and complete source rupturing. On the other hand, the onsite warning has a shorter reporting time than the regional one.

The average period (τ_c) of vertical displacement from the first 3 s of the *P* wave is proven to have an acceptable correlation with the size of an earthquake [Kanamori, 2005; Wu and Kanamori, 2005a; Wu *et al.*, 2007; Wu and Kanamori, 2008a, 2008b]. The peak amplitude of displacement (*Pd*) [Wu *et al.*, 2007; Wu and Kanamori, 2008a, 2008b, 2005b; Zollo *et al.*, 2006] of vertical displacement in the first several seconds after the arrival of the *P* wave reflects the attenuation relationship with distance as a function of magnitude and is also well correlated with the peak ground velocity (PGV).

In order to improve the reliability, Wu and Kanamori [2005b] proposed that the value of $\tau_c * Pd = 1.0$ s cm is a good single indicator for identifying damaging earthquakes in Taiwan. Alick *et al.* [2011] consistently observed that the product of $\tau_c * Pd$ is a good threshold for detecting damaging earthquakes in Turkey. Therefore, the value of $\tau_c * Pd$ could be used in the onsite warning as a threshold value for damaging earthquakes. However, the events used in their studies are not plenty. Wu and Kanamori [2005b] studied a total of 26 events, including of five damaging events. Alick *et al.* [2011] collected two damaging events out of a total of 12 events. In this study, we used 123 events (Figure 1a) recorded by the KiK-net (Figure 1b) to study the application of the value of $\tau_c * Pd$.

2. Seismic Network and Data

In this study, we acquired the borehole strong-motion data from the KiK-net stations of the National Research Institute for Earth Science and Disaster Prevention, Japan (NIED) [Aoi *et al.*, 2011] to compute τ_c and *Pd*. KiK-net is a strong-motion seismograph network, which consists of approximately 700 locations nationwide. Each KiK-net station has strong-motion seismographs installed both on the ground surface and at the bottom

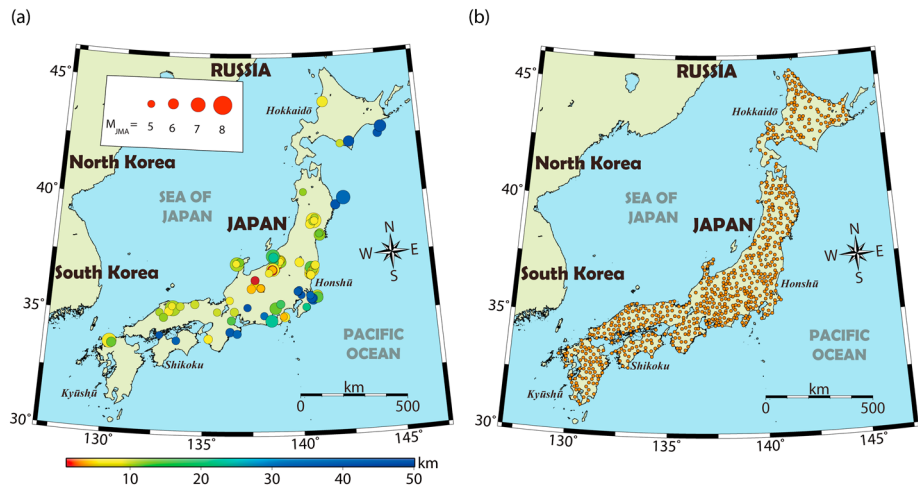


Figure 1. (a) The epicenter locations of the 123 events used in this study with the color indicates the focal depth. (b) The station distribution of the KiK-net network.

of the boreholes of 100 m or more in depth. In this study, we only used the borehole data in order to reduce the receiver site near-surface effects and to better extract the source signature registered on the initial portion after *P* wave arrival.

We selected 123 inland and offshore (distance to shoreline < 10 km) regional earthquakes from the KiK-net catalog occurred between 1996 and 2012 with $M_{JMA} > 5.0$ and the focal depths shallower than 50 km

Table 1. Event Information

Origin	Time	Latitude (N)	Longitude (E)	Depth (km)	Magnitude (M_{JMA})	Nsta ^a (stations)	Hypocentral Distance (km)
1998/04/22	20:32	35.17	136.56	10	5.4	18	24.98
1998/08/16	03:31	36.32	137.63	5	5.4	13	40.01
2000/10/06	13:30	35.28	133.35	11	7.3	220	13.13
2000/10/08	13:17	35.14	133.15	8	5.5	75	13.70
2000/10/08	20:51	35.37	133.31	9	5	57	22.61
2000/10/31	01:43	34.29	136.34	44	5.5	118	49.96
2001/01/04	13:18	36.96	138.76	14	5.1	105	17.84
2001/01/12	08:00	35.47	134.49	10	5.4	129	25.10
2001/03/26	05:41	34.11	132.72	49	5	89	53.40
2001/04/03	23:57	35	138.11	33	5.1	73	54.90
2001/08/25	22:21	35.15	135.66	10	5.1	98	19.21
2002/09/16	10:10	35.37	133.74	10	5.3	119	10.09
2003/05/12	00:57	35.87	140.08	47	5.2	120	45.79
2003/05/17	23:33	35.74	140.65	47	5.1	86	49.04
2003/07/26	00:13	38.43	141.16	12	5.5	140	22.71
2003/07/26	07:13	38.4	141.17	12	6.2	193	25.37
2003/07/26	16:56	38.5	141.19	12	5.3	121	18.70
2003/07/28	04:08	38.46	141.15	14	5	95	21.40
2003/09/28	09:23	42.6	144.81	48	5.5	54	51.49
2004/01/06	14:50	34.22	136.71	37	5.4	130	38.36
2004/06/11	03:12	42.32	143.13	48	5.2	92	51.69
2004/08/10	15:13	39.67	142.13	48	5.8	142	52.32
2004/10/08	04:26	42.34	143.12	50	5.1	67	52.82
2004/10/23	17:56	37.29	138.87	13	6.8	286	18.13
2004/10/23	17:59	37.31	138.85	16	5.3	84	92.14
2004/10/23	18:03	37.35	138.98	9	6.3	216	14.79
2004/10/23	18:07	37.35	138.86	15	5.7	124	17.51
2004/10/23	18:12	37.25	138.83	12	6	202	16.72
2004/10/23	18:34	37.31	138.93	14	6.5	264	17.37

Table 1. (continued)

Origin	Time	Latitude (N)	Longitude (E)	Depth (km)	Magnitude (M_{JMA})	Nsta ^a (stations)	Hypocentral Distance (km)
2004/10/23	18:36	37.26	138.94	7	5.1	68	20.83
2004/10/23	18:57	37.21	138.86	8	5.3	111	13.33
2004/10/23	19:36	37.22	138.82	11	5.3	117	13.91
2004/10/23	19:46	37.3	138.87	12	5.7	168	17.22
2004/10/23	21:44	37.27	138.94	15	5	79	16.28
2004/10/23	23:34	37.32	138.9	20	5.3	133	23.49
2004/10/24	14:21	37.25	138.82	11	5	61	17.90
2004/10/24	23:00	37.18	138.95	2	5.1	25	14.49
2004/10/25	00:28	37.2	138.87	10	5.3	120	22.33
2004/10/25	06:05	37.33	138.95	15	5.8	167	19.22
2004/10/27	10:40	37.29	139.03	12	6.1	226	14.81
2004/11/01	04:35	37.21	138.9	8	5	108	10.91
2004/11/04	08:57	37.43	138.91	18	5.2	121	18.15
2004/11/08	11:32	37.39	139.05	6	5.1	103	15.83
2004/11/10	03:43	37.37	139	5	5.3	100	12.81
2004/12/14	14:56	44.08	141.7	9	6.1	97	12.66
2004/12/28	18:30	37.32	138.98	8	5	79	13.45
2005/01/18	23:09	42.88	145	50	6.4	185	62.58
2005/02/16	04:46	36.03	139.9	45	5.4	135	45.71
2005/03/20	10:53	33.74	130.18	9	7	265	37.33
2005/04/20	06:11	33.68	130.29	14	5.8	131	30.98
2005/06/20	13:03	37.23	138.59	15	5	69	21.17
2005/08/21	11:29	37.3	138.71	17	5	111	22.13
2005/10/16	16:05	36.04	139.94	47	5.1	103	52.69
2006/04/21	02:50	34.94	139.19	7	5.8	107	12.13
2007/03/25	09:42	37.22	136.69	11	6.9	334	37.09
2007/03/25	18:11	37.3	136.84	13	5.3	83	19.56
2007/04/15	12:19	34.79	136.41	16	5.4	161	16.36
2007/04/26	09:03	33.89	133.58	39	5.3	126	39.80
2007/06/11	03:45	37.24	136.65	7	5	48	10.75
2007/07/16	10:13	37.56	138.61	17	6.8	305	33.27
2007/07/16	15:37	37.5	138.64	23	5.8	182	32.66
2007/08/18	16:55	35.34	140.34	20	5.2	49	25.87
2008/06/14	08:43	39.03	140.88	8	7.2	325	8.51
2008/06/14	09:20	38.88	140.68	6	5.7	117	6.95
2008/06/14	12:27	39.14	140.94	11	5.2	89	14.00
2008/06/16	23:14	39	140.84	11	5.3	112	11.40
2009/02/18	06:47	35.66	136.31	9	5.2	172	14.46
2009/08/11	05:07	34.78	138.5	23	6.5	310	31.01
2009/12/17	23:45	34.96	139.13	4	5	66	6.06
2009/12/18	08:45	34.96	139.13	4	5	66	6.38
2010/07/04	04:33	39.02	140.91	7	5.2	103	12.04
2010/09/29	17:00	37.28	140.03	8	5.7	129	16.26
2011/02/27	02:19	36.16	137.46	4	5	104	12.54
2011/02/27	05:38	36.16	137.45	4	5.5	150	12.83
2011/03/12	03:59	36.98	138.6	8	6.7	252	18.28
2011/03/12	04:32	36.95	138.57	1	5.9	178	12.50
2011/03/12	05:42	36.97	138.59	4	5.3	51	15.60
2011/03/15	22:31	35.31	138.71	14	6.4	259	22.49
2011/03/16	12:52	35.84	140.91	10	6.1	213	16.60
2011/03/19	08:49	36.8	140.7	10	5.3	79	15.25
2011/03/19	18:56	36.78	140.57	5	6.1	246	5.60
2011/03/23	07:12	37.08	140.79	8	6	184	19.14
2011/03/23	07:36	37.06	140.77	7	5.8	185	19.49
2011/04/01	19:49	40.26	140.36	12	5	82	14.64
2011/04/11	17:16	36.95	140.67	6	7	357	19.81
2011/04/11	17:26	37.06	140.62	5	5.4	104	40.19
2011/04/11	18:05	36.99	140.73	12	5.1	130	29.96
2011/04/11	20:42	36.97	140.63	11	5.9	216	24.79
2011/04/12	00:57	37.06	140.65	11	5	82	22.64

Table 1. (continued)

Origin	Time	Latitude (N)	Longitude (E)	Depth (km)	Magnitude (M_{JMA})	Nsta ^a (stations)	Hypocentral Distance (km)
2011/04/12	14:07	37.05	140.64	15	6.4	253	16.07
2011/04/13	10:08	36.91	140.71	5	5.7	149	24.79
2011/04/14	07:35	36.78	140.57	9	5.1	79	18.56
2011/04/14	12:09	36.98	140.77	11	5.4	111	9.61
2011/04/21	22:37	35.67	140.69	46	6	183	21.25
2011/04/26	21:12	36.09	139.97	46	5	124	47.62
2011/05/06	02:04	37.1	140.81	6	5.2	53	45.23
2011/05/22	07:06	35.73	140.64	48	5.5	128	17.48
2011/05/25	05:36	37.11	140.83	7	5	37	49.06
2011/06/04	01:57	35.09	132.67	11	5.2	102	17.11
2011/06/23	06:51	39.95	142.59	36	6.9	295	16.88
2011/06/30	08:16	36.19	137.95	4	5.4	111	72.16
2011/06/30	08:21	36.19	137.95	4	5.1	60	9.05
2011/07/05	19:18	33.99	135.23	7	5.5	159	9.05
2011/09/07	22:29	42.26	142.59	10	5.1	51	7.30
2011/09/21	22:30	36.74	140.58	9	5.2	143	23.64
2011/09/23	17:15	36.69	140.62	4	5.1	79	10.80
2011/09/29	19:05	37.13	140.87	9	5.4	118	7.44
2011/10/05	19:00	36.53	137.65	1	5.4	65	17.29
2011/11/20	10:23	36.71	140.59	9	5.3	125	15.59
2011/11/21	19:16	34.87	132.89	12	5.4	128	10.05
2011/12/03	05:55	35.35	140.32	22	5.2	96	13.21
2011/12/14	13:01	35.35	137.24	49	5.1	163	29.25
2012/01/28	07:43	35.49	138.98	18	5.4	163	51.58
2012/02/19	14:54	36.75	140.59	7	5.2	122	18.96
2012/03/10	02:25	36.72	140.61	7	5.4	147	9.05
2012/03/14	21:05	35.75	140.93	15	6.1	213	9.60
2012/04/25	05:22	35.72	140.68	43	5.5	150	17.57
2012/04/29	19:28	35.72	140.6	48	5.8	168	43.99
2012/06/01	17:48	36.03	139.88	44	5.1	158	48.95
2012/07/10	12:49	36.83	138.39	9	5.2	108	43.80
2012/08/25	23:16	42.33	143.11	49	6.1	190	13.35
2012/09/14	02:22	35.86	140.54	37	5.1	98	52.48
2012/10/12	13:57	35.85	140.55	37	5.1	82	39.79

^aNsta: number of total recording stations regardless of source-station distance.

(Table 1). Finally, there are 2416 vertical-component seismic records with the hypocentral distances shorter than 100 km. The seismic records with the hypocentral distances shorter than 20 km are not used in this study to discard those consisting of near-source effect [Yamada and Mori, 2009].

3. Results and Discussion

Figure 2 shows the relation between 2416 pairs of Pd and PGV. The resulting best fitting relationship between Pd and PGV is given

$$\log(Pd) = 0.874 \log(PGV) - 1.139 \pm 0.177, \quad (1)$$

with the correlation coefficient of 0.85. The correlations between τ_c and the size of 123 earthquakes (M_{JMA}) are shown in Figure 3 with the hypocentral distances from (Figure 3a) 20 to 40 km and from (Figure 3b) 30 to 50 km, respectively. A certain degree of fluctuation between τ_c and M_{JMA} is presented indicating that it is not well applicable to use a single parameter τ_c to estimate the earthquake size. The scatter might attribute to the finite fault effect and the individual source rupturing properties. The event with the combination of the larger τ_c and Pd indicating larger magnitude and PGV, respectively, most likely causes damage in and around the station area. Figure 4 shows the relationship between $\tau_c * Pd$ and M_{JMA} with the hypocentral distances from (Figure 4a) 20 to 40 km and from (Figure 4b) 30 to 50 km, respectively. The

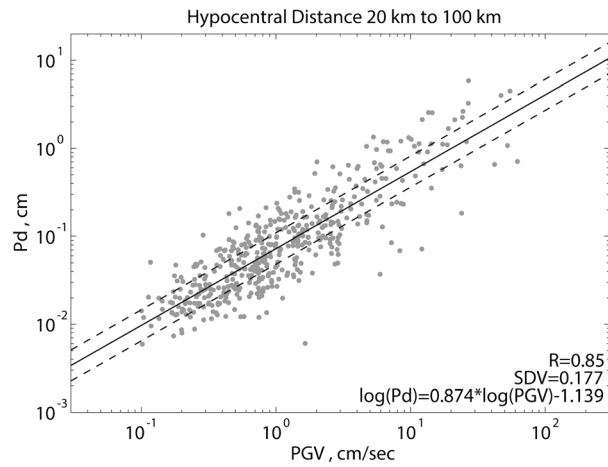


Figure 2. The relationship between Pd and PGV at the hypocentral distances between 20 and 100 km.

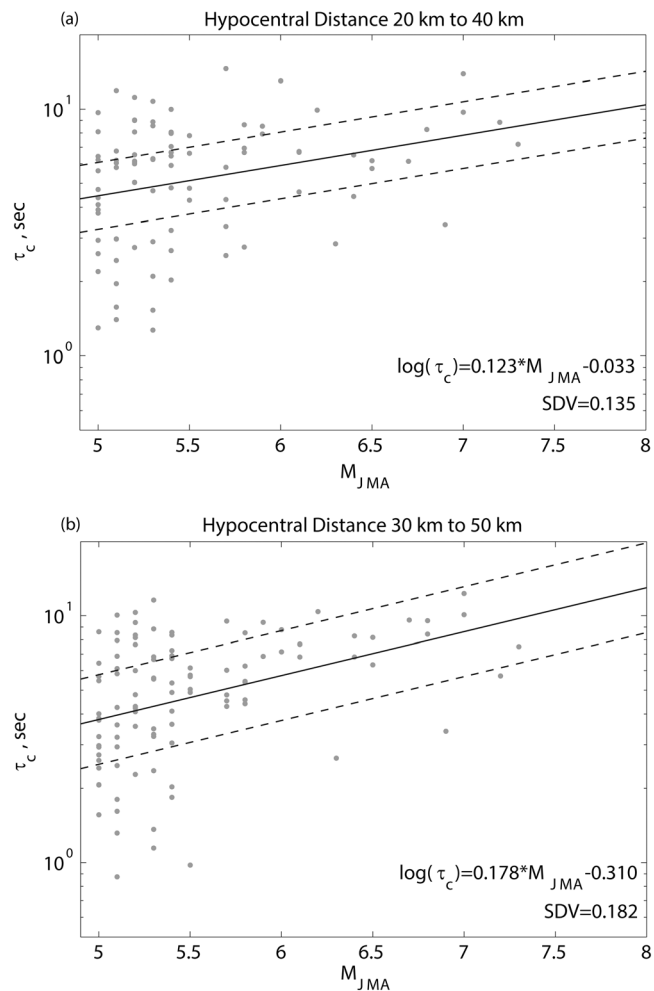


Figure 3. The average τ_c measurements for the 123 events versus M_{JMA} with the hypocentral distances ranging from (a) 20 to 40 km and (b) 30 to 50 km, respectively.

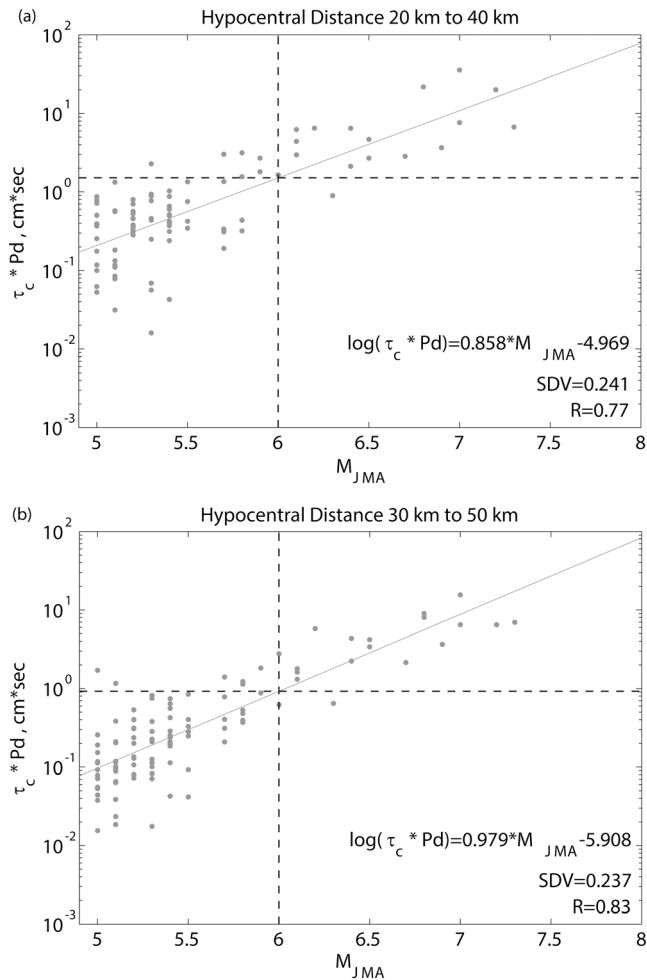


Figure 4. Relationship between average $\tau_c * Pd$ and M_{JMA} for the 123 events with the hypocentral distances ranging from (a) 20 to 40 km and (b) 30 to 50 km, respectively.

correlations between $\tau_c * Pd$ and M_{JMA} are noticeable with correlation coefficients of 0.77 and 0.83 for two hypocentral distance ranges, respectively. The respective best fit regressions are given

$$\log(\tau_c * Pd) = 0.858 * M_{JMA} - 4.969 \pm 0.241, \tag{2}$$

$$\log(\tau_c * Pd) = 0.979 * M_{JMA} - 5.908 \pm 0.237. \tag{3}$$

Figure 4a shows that 16 out of 17 (94%) events with $M_{JMA} \geq 6.0$ have the values of $\tau_c * Pd$ larger than 1.0 s cm and 16 out of 22 (73%) events with $\tau_c * Pd \geq 1.0$ s cm have $M_{JMA} \geq 6.0$. Figure 4b shows that 17 out of 19 (89%) events with $M_{JMA} \geq 6.0$ have the values of $\tau_c * Pd$ larger than 1.0 s cm and 17 out of 23 (74%) events with $\tau_c * Pd \geq 1.0$ s cm have $M_{JMA} \geq 6.0$. The value of $\tau_c * Pd$ has a better correlation with earthquake magnitude than τ_c itself. The value of $\tau_c * Pd$ could be used to estimate the new type of the size of earthquake that is, to some degree, related to the potential of earthquake damage. *Wu and Kanamori [2005b]* proposed that if a station has $Pd > 0.5$ cm and $\tau_c > 1.0$ s, the event is most like a damaging event both in the station and a larger areas. Figures 5a and 5c show the distribution of τ_c and Pd with the hypocentral distance from 20 to 40 km and from 30 to 50 km, respectively. Figures 5b and 5d show the corresponding PGV in Figures 5a and 5c. The results in Figure 5 suggest that if a station registers $Pd > 0.5$ cm and $\tau_c > 1.0$ s, the station will mostly have PGV at least larger than 5.0 cm/s and the majority of PGV are larger than 10.0 cm/s. According to the

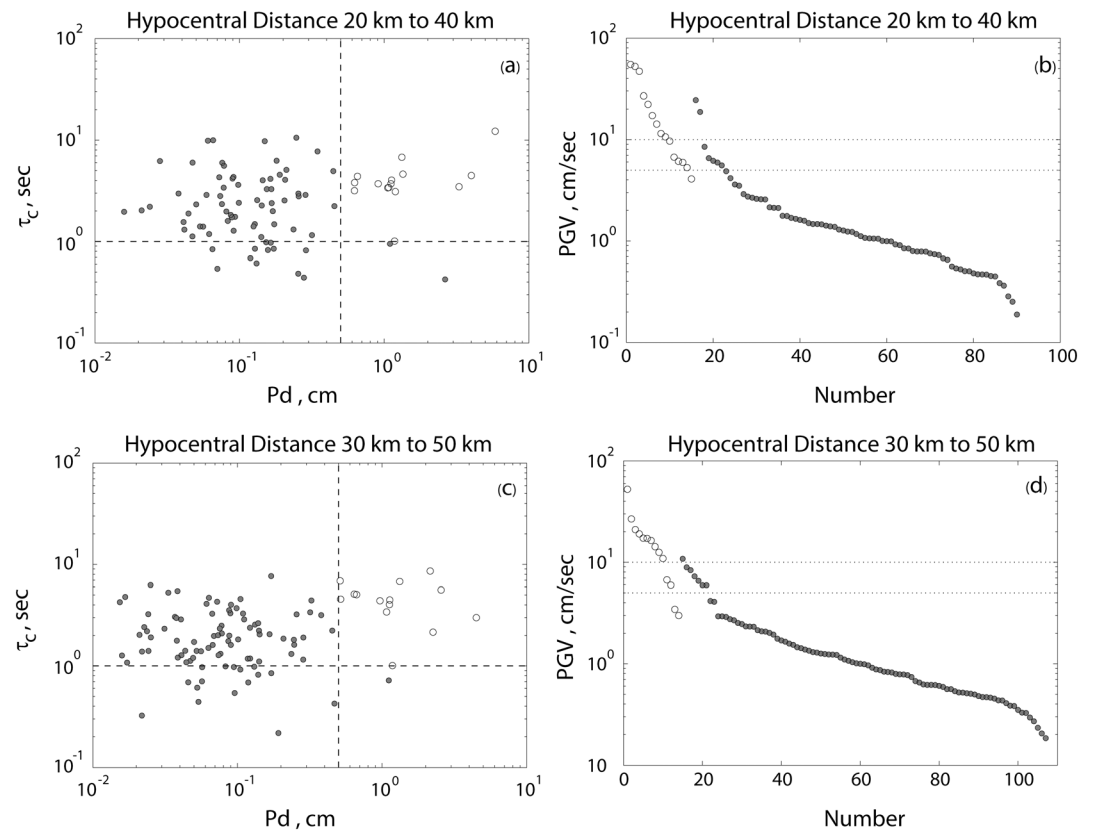


Figure 5. (a and c) The scatterplot of average τ_c and P_d with the hypocentral distances ranging from 20 to 40 km and from 30 to 50 km, respectively. (b and d) The corresponding average PGV for the 123 events. $\tau_c > 1.0$ s and $P_d > 0.5$ cm are indicated by the open circles.

regression relationship between Modified Mercalli Intensity and PGV proposed by *Wald et al.* [1999], PGV of 5.0 and 10.0 cm/s are grouped in Intensity V (moderate shaking) and Intensity VI (strong shaking).

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